

Annual Report for North Hempstead Solid Waste Management Authority  
Fiscal Year Ending 12/31/2009

Run Date: 03/31/2010  
Status: CERTIFIED

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	The authority does not have a website or is unable to post documents to its website.
2. As required by section 2800(9) of PAL, did the authority prepare an assessment of the effectiveness of its internal controls?	Yes	The authority does not have a website or is unable to post documents to its website.
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the authority?	No	N/A
5. Does the authority have an organization chart?	Yes	The authority does not have a website or is unable to post documents to its website.
6. Are any authority staff also employed by another government agency?	No	

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		The authority does not have a website or is unable to post documents to its website.
4. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
5. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		The authority does not have a website or is unable to post documents to its website.
6. Has the Board adopted a statement of Board duties and responsibilities?	Yes	The authority does not have a website or is unable to post documents to its website.
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	The authority does not have a website or is unable to post documents to its website.
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	The authority does not have a website or is unable to post documents to its website.
9. Does the Board review and monitor the authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Is there a separation of the Board and CEO/Senior Management positions in accordance with Section 2824(3) of PAL?	Yes	N/A
12. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
13. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
14. Are the authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A

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**Board of Directors Listing**

Name	Chair?	If Yes, Chair Designated By	Appointed By	Nominated By	Confirmed by Senate	Complied w/Training Requirement Of Section 2824	Also holds elected or appointed State government position?	Also holds elected or appointed municipal government position?	Term Start Date	Term Expiration Date
Poons, Maria C	No		Other	Other		Yes	No	Yes	01/01/2008	12/31/2011
Pollack, Fred L	No		Other	Other		Yes	No	Yes	01/01/2008	12/31/2011
Seeman, Lee R	No		Other	Other		Yes	No	Yes	01/01/2010	12/31/2013
Russell, Viviana	No		Other	Other		No	No	Yes	01/01/2010	12/31/2013
Ferrara, Angelo P	No		Other	Other		Yes	No	Yes	01/01/2010	12/31/2013
Dwyer, Thomas K	No		Other	Other		No	No	Yes	01/01/2008	12/31/2011
Kaiman, Jon	Yes	Other	Other	Other		Yes	No	Yes	01/01/2010	12/31/2011

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# Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt Indicator	Annualized Salary paid by Authority	Bonus Amount paid by Authority	Overtime Amount paid by Authority	Total Compensation paid by Authority	Individual also paid by another entity to perform the work of the authority	If yes, is the payment made by state or local government?
Caputo, Luciano	Senior Maintainer	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	46,862.40		7,566.71	53,887.71	No	
Carpenter, Paul	Facility Supervisor	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	80,870.40		10,230.75	91,331.99	No	
Crawford, David	Facility Attendant	Operational	Leachate	CSEA	Local 1000 AFSCME	PT	No	38,937.60			18,212.12	No	
Devlin, Kenneth	Facility Attendant	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	63,044.80		6,335.58	68,876.42	No	
Dorsky, Michael	Recycling Coordinator	Managerial	Administrative			FT	Yes	85,279.92			20,896.59	No	
Fischetti, Michael	Facility Supervisor	Operational	Leachate	CSEA	Local 1000 AFSCME	FT	No	68,785.60		6,145.42	74,650.34	No	
Kenney, Joanne	Administrator	Administrative and Clerical	ADMIN			FT	Yes	39,500.00			26,619.71	No	
Lauri, Anthony	Laborer II	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	58,073.60		5,701.38	63,315.26	No	
Metz, Constance	Part Time Administrator	Administrative and Clerical	Administration			PT	Yes	47,840.00			15,364	No	
Nolan, Edward	Facility Operator	Operational	Scale	CSEA	Local 1000 AFSCME	FT	No	69,097.60		6,130.12	74,679.6	No	
Peters, John	Deputy Executive Director	Executive	Admin			FT	Yes	74,999.92			25,574.68	No	
Peterson, Audre	Secretary	Administrative and Clerical	Administration	CSEA	Local 1000 AFSCME	FT	No	49,743.99		3,683.36	54,514.87	No	

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Sikiric, Igor	Executive Director	Executive	Admin			FT	Yes	94,999.82			95,674.84	No	

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### Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

### Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ship	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
Kaiman, Jon	Board of Directors												X	
Dwyer, Thomas K	Board of Directors												X	
Ferrara, Angelo P	Board of Directors												X	
Poons, Maria C	Board of Directors												X	
Seeman, Lee R	Board of Directors												X	
Pollack, Fred L	Board of Directors												X	
Russell, Viviana	Board of Directors												X	

### Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member- ship	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
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No Data has been entered by the Authority for this section in PARIS

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#### Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this auth No

Name of Subsidiary/Affiliate	Status	Requested Changes
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#### Subsidiary/Affiliate Creation

Name of Subsidiary/Affiliate	Establishment Date	Entity Purpose
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#### Subsidiary/Affiliate Termination

Name of Subsidiary/Affiliate	Termination Date	Termination Reason	Proof Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

Assets

## Current Assets

Cash and cash equivalents	\$1,378,814.86
Investments	\$0
Receivables, net	\$2,093,584.8
Other assets	\$20,500
<b>Total Current Assets</b>	<b>\$3,492,899.66</b>

## Noncurrent Assets

Restricted cash and investments	\$2,209,606.92
Long-term receivables, net	\$0
Other assets	\$0

## Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

<b>Total Noncurrent Assets</b>	<b>\$2,209,606.92</b>
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<b>Total Assets</b>	<b>\$5,702,506.58</b>
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

Liabilities

## Current Liabilities

Accounts payable	\$2,190,382.27
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$3,119,783.14
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$5,310,165.41</b>

## Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Other long-term obligations	\$0
<b>Total Noncurrent Liabilities</b>	<b>\$0</b>

<b>Total Liabilities</b>	<b>\$5,310,165.41</b>
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Net Asset (Deficit)

## Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$392,341.17
<b>Total Net Assets</b>	<b>\$392,341.17</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$13,413,014.56
Rental & financing income	\$24,860
Other operating revenues	\$2,171,722.13
<b>Total Operating Revenue</b>	<b>\$15,609,596.69</b>

Operating Expenses

Salaries and wages	\$680,518.13
Other employee benefits	\$407,652.18
Professional services contracts	\$15,395.88
Supplies and materials	\$5,701.43
Depreciation & amortization	\$0
Other operating expenses	\$12,805,807.62
<b>Total Operating Expenses</b>	<b>\$13,915,075.24</b>

<b>Operating Income (Loss)</b>	<b>\$1,694,521.45</b>
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Nonoperating Revenues

Investment earnings	\$2,261.86
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$1,543,785.51
<b>Total Nonoperating Revenue</b>	<b>\$1,546,047.37</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$2,530,437.5
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$521,353.06
<b>Total Nonoperating Expenses</b>	<b>\$3,051,790.56</b>
<b>Income (Loss) Before Contributions</b>	<b>\$188,778.26</b>
<b>Capital Contributions</b>	<b>\$0</b>
<b>Change in net assets</b>	<b>\$188,778.26</b>
<b>Net assets (deficit) beginning of year</b>	<b>\$203,562.91</b>
<b>Other net assets changes</b>	<b>\$0</b>
<b>Net assets (deficit) at end of year</b>	<b>\$392,341.17</b>

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

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**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue	0.00	15,170,000.00	0.00	2,040,000.00	13,130,000.00
Other Non-State Funded					
<b>Conduit Debt</b>					

Real Property Acquisition/Disposal List

1. Address Line1: Spine Road  
Address Line2: a/k/a Fairway Drive  
City: PORT WASHINGTON  
State: NY  
Postal Code: 11050  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Vacant Lot/Undeveloped Land  
Estimated Fair Market Value: \$2,000,000  
How was the Fair Market Value Appraisal  
Determined?  
Transaction Type: DISPOSITION SALE  
If Other, Explain:

Transaction Date: 12/24/2009  
Purchase Sale Price: \$2,000,000.00  
Lease Data (If applicable)  
Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):  
Seller/Purchaser/Tenant Data:  
Organization: Town of North Hempstead  
Last Name:  
First Name:  
Address Line1: 220 Plandome Road  
Address Line2:  
City: MANHASSET  
State: NY  
Postal Code: 11030  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

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**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the authority is required to prepare a report at least annually of all real property of the authority. Has this report been prepared?	No	
2. Has the authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	No	
3. In accordance with Section 2896(1) of PAL, has the authority named a contracting officer who shall be responsible for the authority's compliance with and enforcement of such guidelines?	No	

**IDA Projects Summary Information:**



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Additional Comments: